



PATENT
Attorney Docket No. CTX-073
(1545/127)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Stutz et al
APPLICATION NO.: 09/891,822 ART UNIT: 2152
FILING DATE: June 26, 2001 EXAMINER: Not yet assigned
TITLE: Methods and Apparatus for Load Balanced Information Aggregation
and Presentation

Commissioner for Patents
Washington, D.C. 20231

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FIRST INFORMATION DISCLOSURE STATEMENT

Sir:

In accord with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby direct the Office's attention to Application No. 09/891,821, filed on June 26, 2001, for consideration by the Examiner in connection with the examination of this patent application.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

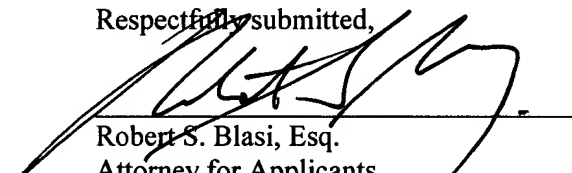
As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: December 18, 2002
Reg. No. 50,389

Tel. No.: (617) 248-7097
Fax No.: (617) 248-7100

Respectfully submitted,


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2152^{#5 BT}
12-31-02

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APPLICANTS: Stutz et al.
APPLICATION NO. 09/891,822 ART UNIT 2152
FILING DATE: June 26, 2001 EXAMINER: Not Yet Assigned
TITLE: Methods and Apparatus for Load Balanced Information Aggregation
and Presentation

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 18th day of December 2002.


Irja Zarembok

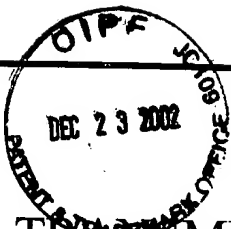
Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

Transmittal Form (1 pg.);
First Information Disclosure Statement (2 pgs.); and
Return Receipt Postcard

2548022



TRANSMITTAL FORM

Application Serial Number	09/891,822
Filing Date	June 26, 2001
First Named Inventor	Stutz
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-073 (1545/127)
BATCH NO. (after allowance)	Not Applicable
Patent No.	Not Applicable
Issue Date	Not Applicable

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ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences |
| <input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson
Including Drawings
[Total Sheets _____] | <input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> Appeal Brief (in triplicate) |
| <input type="checkbox"/> Petition for Extension of Time | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal | <input type="checkbox"/> Status Inquiry |
| <input checked="" type="checkbox"/> First Information Disclosure Statement (2 pgs.) | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 |
| | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Additional Enclosure(s) (please identify below) |
| | <input type="checkbox"/> CD(s) for large table or computer program | |
| | <input type="checkbox"/> Amendment After Allowance | |
| | <input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | |

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SIGNATURE BLOCK

Respectfully submitted,

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